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PTO/SB/05 (11-00)Please type a plus sign (+) inside this box → Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 5770.CD2C2

First Inventor Mark Plaia

Title ANTI-STENOTIC METHOD AND PRODUCT  
FOR OCCLUDED & PARTIALLY OCCLUDED ARTERIES

Express Mail Label No. EL811844781US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 59]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 14 ]
5. Oath or Declaration [ Total Pages 7 ]
- a.  Newly executed (original or copy)  
b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*
- i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for PatentsBox Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
- a.  Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  paper
- c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s)) copy  
37 CFR 3.73(b) Statement  Power of  
*(when there is an assignee)*  Attorney
10.  English Translation Document (if applicable)
11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Statement (IDS)/PTO-1449  Citations
12.  Preliminary Amendment
13.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
14.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
15.  Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent. **PTO-892 forms from  
parent application**
17.  Other: **parent application**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP)

of prior application No. 09, 522,461

Prior application information:

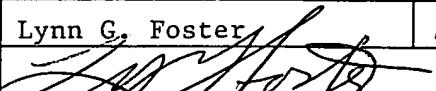
Examiner Hieu Phan

Group Art Unit: 3738

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label	 <i>(Insert Customer Number or Attach bar code label here)</i>		or <input checked="" type="checkbox"/> Correspondence address below
Name	Foster & Foster, LLC		
Address	Mr. Lynn G. Foster 602 E. 300 S.		
City	Salt Lake City	State	Utah
Country	USA	Telephone	801-364-5633
Zip Code	84102		
Fax	801-3558938		

Name (Print/Type)	Lynn G. Foster	Registration No. (Attorney/Agent)	21189
Signature			Date 24 August 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

JC542 U.S. PTO  
09/038882

08/24/01

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$675.00)

## Complete if Known

Application Number	
Filing Date	24 August 2001
First Named Inventor	Mark Plaia
Examiner Name	
Group Art Unit	
Attorney Docket No.	5770.CD2C2

METHOD OF PAYMENT	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	
Deposit Account Number	06-1620
Deposit Account Name	Foster & Foster
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	
2. <input type="checkbox"/> Payment Enclosed:	
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other	

FEE CALCULATION					
1. BASIC FILING FEE					
Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1) (\$355.00)					
2. EXTRA CLAIM FEES					
Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
11	11		-20** = 0	x 9	= 0
			- 3** = 8	x 40	= 320
					= 0
SUBTOTAL (2) (\$320.00)					
*or number previously paid, if greater; For Reissues, see above					

FEE CALCULATION (continued)					
3. ADDITIONAL FEES					
Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid					SUBTOTAL (3) (\$)

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Lynn G. Foster	Registration No. (Attorney/Agent)	21,189	Telephone 801-364-5633
Signature	_____ <i>Lynn G. Foster</i>			
Date	24 August 2001			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

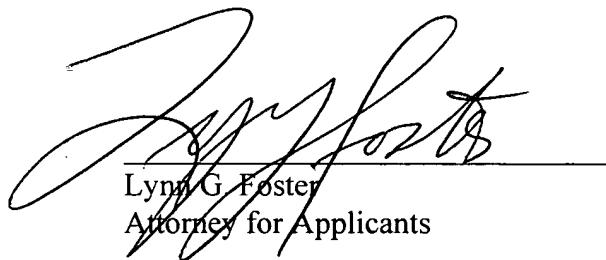
In re Patent Application of: )  
MARK PLAIA, ET AL. ) Docket: 5770.CD2C.2  
Serial No.: ) Art Unit:  
Filed: August 24, 2001 ) Examiner:  
(Via Express Mail) )  
Parent Serial No.: 09/522,461 )  
Parent Filing Date: March 9, 2000 )  
For: ANTI-STENOTIC METHOD AND )  
PRODUCT FOR OCCLUDED AND )  
PARTIALLY OCCLUDED ARTERIES )

CERTIFICATION OF FILING BY EXPRESS MAIL

"EXPRESS MAIL" mailing label number: EL811844781US

DATE OF DEPOSIT: August 24, 2001

I hereby certify that the attached complete patent application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.



Lynn G. Foster  
Attorney for Applicants

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
MARK PLAIA, ET AL. ) Docket: 5770.CD2C.2  
Serial No.: ) Art Unit:  
Filed: August 24, 2001 ) Examiner:  
(Via Express Mail) )  
Parent Serial No.: 09/522,461 )  
Parent Filing Date: March 9, 2000 )  
For: ANTI-STENOTIC METHOD AND )  
PRODUCT FOR OCCLUDED AND )  
PARTIALLY OCCLUDED ARTERIES )

TRANSMITTAL OF FORMAL DRAWINGS

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Transmitted herewith are the formal drawings for filing in the above-identified application,  
which satisfy all the requirements of the United States Patent and Trademark Office.

Respectfully submitted,

Lynn G. Foster  
Attorney for Applicants

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Salt Lake City, UT 84102  
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